

# An Alternative Nonlinear Lyapunov Redesign Velocity Controller for an Electrohydraulic Drive

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**Abstract**—This research aims at developing control law strategies that improve the performances and the robustness of electrohydraulic servosystems (EHSS) operation while considering easy implementation. To address the strongly nonlinear nature of the EHSS, a number of control algorithms based on backstepping approach is intensively used in the literature. The main contribution of this paper is to consider an alternative approach to synthesize a Lyapunov redesign nonlinear EHSS velocity controller. The proposed control law design is based on an appropriate choice of the control Lyapunov function (clf), the extension of the Sontag formula and the construction of a nonlinear observer. The clf includes all the three system variable states in a positive definite function. The Sontag formula is used in the time derivative of our clf in order to ensure an asymptotic stabilizing controller for regulating and tracking objectives. A nonlinear observer is developed in order to bring to the proposed controller the estimated values of the first and the second time output derivatives. The design, the tuning implementation and the performances of the proposed controller are compared to those of its equivalent backstepping controller. It is shown that the proposed controller is easier to design with simple implementation tuning while the backstepping controller has several complex design steps and implementation tuning issue. Moreover, the best performances especially under disturbance in the viscous damping are achieved with the proposed controller.

**Keywords**—Control Lyapunov Function; Lyapunov Redesign; Sontag's formula; Backstepping Control; Nonlinear Observer; Electrohydraulic System.

## I. INTRODUCTION

Electrohydraulic systems use pressurized oil to accomplish mechanical work. Because the oil is incompressible, these power systems are selected to manipulate large loads with accuracy, rapidity and robustness. Common engineering applications include automobile suspension [1], [2], automobile power steering [3], [4], robotic actuation [5], [6], aerospace actuation [7], [8],[9] machine tool [10], [11], press actuation [12] and injection molding machine [13]. The PID control laws are widely used in industrial Electro-Hydraulic Servo-System (EHSS) because this linear control theory is well known, simple and easy to implement [14]. However, the EHSS has

a strongly nonlinear dynamics [15]. It starts with the square-root relationship between the flow and the pressure difference across the actuator lines [16]. Inside the square-root, the sign function indicates the direction of flow across the hydraulic drive and adds discontinuity. Moreover, the parameters of the EHSS dynamics are affected by the temperature, the air insertion and others disturbances making the system close to instability [17]. Therefore, the classical PID controller does not maintain the performances over a wide range of operating points. In order to improve the performances, researchers use optimization tools [18], [19], [20], artificial intelligence approaches [21], [22], [23], nonlinear functions [24] to tune the three PID gains. These parameters tuning strategies lead to very complex and expensive closed loop systems with implementation issues [25].

Lyapunov redesign is a powerful control strategy that deals with nonlinear systems. It consists of constructing an asymptotic stabilizing control law while using a Lyapunov control function (clf) [26], [27], [28]. A clf is a positive definite function that includes all the system state variables and which the time derivative is made negative by choosing a particular control law. In the EHSS literature, backstepping approach is by far the most Lyapunov redesign control widely used [29], [30], [31]. In this approach, the system is dismembered in 1st order subsystems and the clf is recursively constructed through virtual controls. Researchers combine this approach with adaptive control [32], sliding mode control [33], neural networks [34], [35]. However, common EHSS system order varies between three and five [36], [37]. These high orders in the recursive clf construction cause an explosion of complexity.

Based on the Artstein's results [27], Sontag [28] constructs a different Lyapunov redesign control architecture based on Riccati solution equation from a system's clf expressed in terms of Lie derivatives. Unlike the recursive Lyapunov redesign, the approach based on Sontag formula offers possibilities of deducing simple Lyapunov redesign control laws. However, for now, this approach is restricted to nonlinear systems that is affine in control [38]. Authors [39] [40] shows that the Sontag formula feedback control ensure



the design of asymptotic stabilizing controller while minimizes the cost function. Researchers [41] extend the Sontag formula to obtain an event-based feedback between two sampling times, they use the time derivative of a smooth clf for their event function. In [42], the Sontag formula is used to design a ship position controller in presence of state input constrained. At the end of the Sontag formula literature, we notice that this approach is mainly used for regulating objectives. Moreover, to our knowledge, the Sontag's control formula applied to EHSS is not yet available in the literature. Thus, this motivates us to investigate the Sontag formula performances in the EHSS regulating and tracking velocity problems.

The main research contributions of this paper are listed below:

- An alternative Lyapunov redesign control approach other than backstepping is brought in the EHSS velocity control literature;
- The proposed controller is based on Sontag formula that we extend to the tracking version with a nonlinear observer based on the exponential observer developed in [26] and the Luenberger like nonlinear observer [43];
- The design and the implementation tuning of the proposed controller are compared to those of its equivalent Lyapunov redesign backstepping controller ( known to have implementation issue [44]). It is shown that the proposed one generates less design and tuning effort.
- The performances of the proposed controller are compare to those of the Lyapunov redesign backstepping. It is shown that the proposed controller demonstrate the best results especially under viscous damping disturbance.

Fig. 1 illustrates the approach used to synthesize the two-velocity redesign Lyapunov controllers in the paper. It is shown that the proposed controller has an additional step where a nonlinear observer is developed.

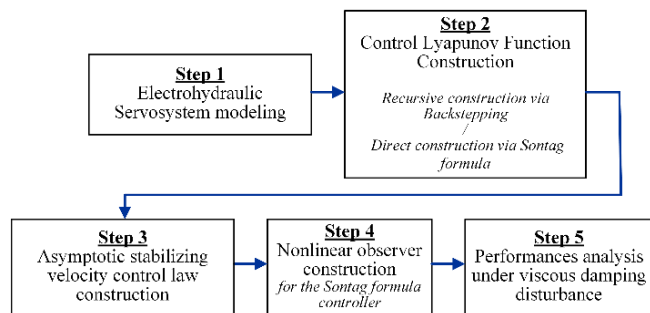


Fig. 1. Lyapunov Redesign methodology for both controllers used in the paper

The paper is organized as follows: section II describes the mathematical models of the EHSS under study. Section III shows the derivation of the proposed control law based on the Sontag's formula. Section IV depicts the design of the Lyapunov design backstepping controller. In section V, the comparison between the two controllers is discussed. In section VI, the simulation results are given and analysed using matlab/Simulink environment. Finally, Section VII is devoted to the conclusion.

## II. SYSTEM MODELINGS AND REFERENCE MODEL

This section is devoted to the modeling of the EHSS under study. A state space form and a controllability canonical form are performed in order to facilitate the design of the backstepping controller and the proposed based Sontag formula controller [45] respectively. At the end of the section, a reference model is constructed to provide the useful desired transient performances to the proposed controller [46].

The EHSS under consideration is shown in Fig. 2. The hydraulic oil stored in the tank is sent to the system by the positive fixed displacement pump. The relief valve and the oleo pneumatic accumulator maintain constant the inlet pressure  $P_s$  of the electrohydraulic servovalve. The electrical control input  $u(t)$  acts on the servovalve opening area by moving the valve spool. By changing the value of the servovalve opening area, a pressure difference  $P_L(t)$  across the hydraulic motor lines is created and the mechanical load is driven. A sensor measures the velocity  $\dot{\theta}(t)$  of the mechanical load that is the output signal of this study.

### A. State Space EHSS System Modeling

The readers are referred to our previous works [47], [48], [49] and to Merritt [15] for the details about the EHSS nonlinear state-space model under study and the sigmoid function. A third order nonlinear state space model is described by (1). As one can see, the non differentiable sign function is approximated by the differentiable sigmoid function.

$$\begin{aligned} \dot{x}_1(t) &= \frac{d_m}{J} x_2(t) - \frac{B_m}{J} x_1(t) \\ \dot{x}_2(t) &= \frac{4\beta c_d}{V_m} \left( x_3(t) \frac{c_d}{\sqrt{\rho}} \sqrt{P_s - \text{sigm}(x_3(t))x_2(t) - d_m x_1(t)} \right. \\ &\quad \left. - c_{sm} x_2(t) \right) \\ \dot{x}_3(t) &= \frac{K}{\tau} u(t) - \frac{1}{\tau} x_3(t) \\ y(t) &= x_1(t) \end{aligned} \quad (1)$$

$$\text{sign}(x(t)) \approx \text{sigm}(x(t)) = \frac{1 - e^{-\delta x(t)}}{1 + e^{-\delta x(t)}} \quad (2)$$

where,  $x_1(t)$  is the angular velocity,  $x_2(t)$  is the motor pressure difference due to the load,  $x_3(t)$  is the servovalve opening area due to the input signal,  $u(t)$  is the control current input,  $J$  is the hydraulic motor total inertia,  $d_m$  is the volumetric displacement of the motor,  $\beta$  is the fluid bulk modulus,  $V_m$  is the total oil volume of the hydraulic motor,  $c_d$  is the servovalve discharge coefficient,  $\rho$  is the fluid mass density,  $c_{sm}$  is the leakage coefficient of the hydraulic motor,  $P_s$  is the supply pressure at the inlet of the servovalve,  $K$  is the servovalve amplifier gain,  $\tau$  is the servovalve time constant.

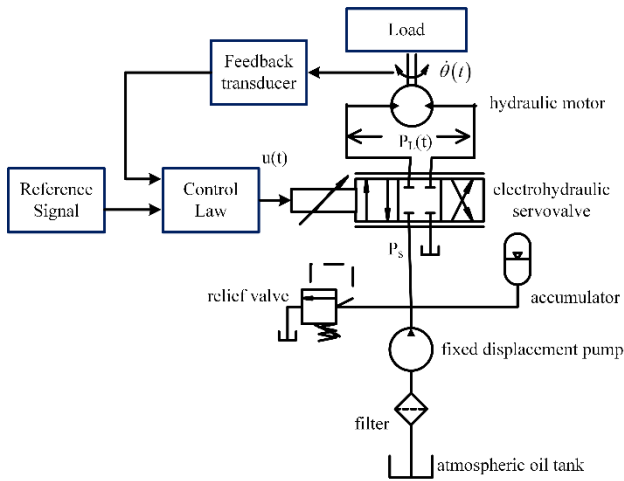


Fig. 2. Electrohydraulic Servo System

It is noted that the model under study keeps the strong nonlinearity of the flow expression. In order to avoid the sign function and the square-root in the flow pressure relationship, the linearized version of flow expression is used in the EHSS backstepping literature [50], [51].

### B. EHSS System Modeling in a Companion Form

In order to put the EHSS in the affine control form by using the input output relationship, we have to differentiate the output until the control input appears. The time derivative of the continuous sigmoid function is approximated to 0 as explained in [52]. Then after three time-differentiations of the output  $y(t)$ , we obtain the output-input relationship as shown in equation (3).

$$\ddot{y}(t) = \sum_{i=1}^6 a_i f_i(x, t) + g(x, t)u(t) \quad (3)$$

where

$$g(x, t) = \frac{4\beta D_m c_d K}{J\tau V_m \sqrt{\rho}} \sqrt{P_s - x_2(t)} \text{sigm}(x_3(t))$$

$$f_1(x, t) = x_1(t)$$

$$f_2(x, t) = x_2(t)$$

$$f_3(x, t) = x_3(t) \sqrt{P_s - x_2(t)} \text{sigm}(x_3(t))$$

$$f_4(x, t) = x_3(t)x_3(t) \text{sigm}(x_3(t))$$

$$f_5(x, t) = \frac{x_1(t)x_3(t) \text{sigm}(x_3(t))}{\sqrt{P_s - x_2(t)} \text{sigm}(x_3(t))}$$

$$f_6(x, t) = \frac{x_2(t)x_3(t) \text{sigm}(x_3(t))}{\sqrt{P_s - x_2(t)} \text{sigm}(x_3(t))}$$

$$a_1 = \frac{8\beta B d_m^2 J V_m - B^3 V_m^2 + 16\beta^2 d_m^2 c_{sm} J^2}{J^3 V_m^2}$$

$$a_2 = \frac{B^2 V_m^2 d_m - 4\beta d_m^3 J V_m + 16\beta^2 d_m c_{sm}^2 J^2 + 4\beta B d_m V_m c_{sm} J}{J^3 V_m^2}$$

$$a_3 = -\frac{\tau(16\beta^2 c_d d_m c_{sm} J + 4\beta c_d B d_m V_m) + 4\beta d_m c_d J V_m}{\tau J^2 V_m^2 \sqrt{\rho}}$$

$$a_4 = -\frac{8\beta^2 d_m c_d^2}{J V_m^2 \rho}$$

$$a_5 = \frac{8\beta^2 d_m c_d c_{sm}}{J V_m^2 \sqrt{\rho}}$$

$$a_6 = \frac{8\beta^2 d_m c_d c_{sm}}{J V_m^2 \sqrt{\rho}}$$

It is noted that the function  $g(x, t)$  is always strictly positive because the pressure difference across the motor line never exceeds  $\frac{2P_s}{3}$  for the servovalve requirements [15], [53]. Thus, we obtain (4).

$$\frac{4\beta D_m c_d K}{J\tau V_m \sqrt{\rho}} \sqrt{\frac{P_s}{3}} \leq g(x, t) \leq \frac{4\beta D_m c_d K}{J\tau V_m \sqrt{\rho}} \sqrt{P_s} \quad (4)$$

One can note that it is not easy to put the EHSS in a companion form and one advantage of the backstepping is to be performed using the state space model form [54].

### C. Reference Model

As we found the control input after differentiating three times the output, the three order EHSS under study has no zero dynamics [55]. Thus, a three-order reference model shown in (5) is given in order to monitor the trajectory of the output signal.

$$\ddot{y}_{des}(t) + \alpha_2 \dot{y}_{des}(t) + \alpha_1 \dot{y}_{des}(t) + \alpha_0 y_{des}(t) = r(t) \quad (5)$$

where  $y_{des}(t)$  and  $r(t)$  are the desired output and the input of the reference model respectively. The coefficient  $\alpha_i$  are distributed in the Butterworth pattern.

### III. THE NONLINEAR CONTROLLER DESIGN BASED ON SONTAG FORMULA

This section presents the design of the proposed control law based on the Sontag's formula [26], [28]. Before starting, we summarize the most important Sontag results [56]:

Result 1: A smooth positive definite and radially unbounded function  $V_s: \mathbb{R}^n \rightarrow \mathbb{R}_+$  is called a control Lyapunov function (clf) for the affine in control system  $\dot{x}_s(t) = f_s(x, t) + g_s(x, t)u(t)$  if,

$$\frac{\partial V_s(x_s(t))}{\partial x_s} f_s(x(t)) + \frac{\partial V_s(x_s(t))}{\partial x_s} g_s(x_s(t))u_s(t) < 0, \forall x_s \neq 0.$$

Result 2: if the system  $\dot{x}_s(t) = f_s(x_s, t) + g(x_s, t)u_s(t)$  admits as  $V_s$  a clf then a asymptotic stabilizing Lyapunov redesign control law  $u(t)$  for  $\forall x \neq 0$  as in (6).

$$u_s(t) = \begin{cases} -\frac{\frac{\partial V_s}{\partial x} f_s + \sqrt{\left(\frac{\partial V_s}{\partial x} f_s\right)^2 + \left(\frac{\partial V_s}{\partial x} g_s\right)^4}}{\frac{\partial V_s}{\partial x} g_s}, & \frac{\partial V_s}{\partial x} g_s \neq 0 \\ 0, & \frac{\partial V_s}{\partial x} g_s = 0 \end{cases} \quad (6)$$

### A. The Proposed Control Lyapunov Function

The design of the proposed controller starts with the choice of a positive definite and unbounded function that includes all the state variables. Equation (7) is a quadratic function of  $s(x,t)$  which is a weighted sum of the velocity error and its derivatives up to order 2. According to Slotine and Li [57], the advantage of this combined output error is to replace the tracking problem of the third order system in  $x(t)$  by a first order system in  $s(x,t)$ .

$$V(x,t) = \frac{s^2(x,t)}{2} \quad (7)$$

where  $s(x,t) = \ddot{e}(t) + \lambda_1 \dot{e}(t) + \lambda_0 e(t)$  is the combined error or the weighted sum of the velocity error  $e(t) = y(t) - y_{des}(t)$ . The coefficient  $\lambda_i$  are distributed in the Butterworth pattern. The derivative of the function  $V(x,t)$  gives (8) where the input control signal appears:

$$u(t) = \begin{cases} -\frac{\frac{\partial V}{\partial s} \left( \sum_{i=1}^6 a_i f_i(x,t) - \ddot{y}_{des} + \lambda_1 \dot{e}(t) + \lambda_0 e(t) \right) + \sqrt{\left( \frac{\partial V}{\partial s} \sum_{i=1}^6 a_i f_i(x,t) \right)^2 + \left( \frac{\partial V}{\partial s} g(x,t) \right)^4}}{\frac{\partial V}{\partial s} g} & , s(x,t) \neq 0 \\ 0 & , s(x,t) = 0 \end{cases} \quad (10)$$

Which yields to

$$\dot{V}(x,t) = -\sqrt{\left( \frac{\partial V}{\partial s} \sum_{i=1}^6 a_i f_i(x,t) \right)^2 + \left( \frac{\partial V}{\partial s} g(x,t) \right)^4} \quad (11)$$

As desired. Moreover, considering (4) and (11), we have

$$\dot{V}(x,t) = \begin{cases} < 0 & \text{if } s(x,t) \neq 0 \\ = 0 & \text{if } s(x,t) = 0 \end{cases} \quad (12)$$

Equation (12) shows that the time derivative of  $V(x,t)$  is negative definite. Hence, the controlled system is asymptotically stable.  $s(x,t)$  goes to zero as  $t$  tends to

$$u(t) = \begin{cases} -\frac{1}{g(x,t)} \left( F(x,t) + \text{sigm}(s(t)) \sqrt{(F(x,t))^2 + \left( \frac{\partial V}{\partial s} \right)^2 (g(x,t))^4} \right) & , s(x,t) \neq 0 \\ 0 & , s(x,t) = 0 \end{cases} \quad (13)$$

### C. The Proposed Nonlinear Observer Design

We assume that all the state variables of the EHSS are available which are  $x_1(t), x_2(t), x_3(t), x_4(t)$ . However, the first and the second time derivatives of the output are not available. To address this implementation issue, we propose a nonlinear observer that is a variation of the one developed in [26], [43] and [61]. We start by reorganizing the model in a linear / nonlinear separated parts near to the affine in control form [62]. We obtain (14)-(15).

$$\begin{bmatrix} \dot{x}_{o1}(t) \\ \dot{x}_{o2}(t) \\ \dot{x}_{o3}(t) \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ -a_{y1} & -a_{y2} & -a_{y3} \end{bmatrix} \begin{bmatrix} x_{o1}(t) \\ x_{o2}(t) \\ x_{o3}(t) \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ g(x,t) \end{bmatrix} u(t) + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} f_o(x,t) \quad (14)$$

$$y(t) = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} x_{o1}(t) \\ x_{o2}(t) \\ x_{o3}(t) \end{bmatrix} \quad (15)$$

with,

$$\dot{V}(x,t) = \frac{\partial V}{\partial s} \dot{s} \quad (8)$$

$$\dot{V}(x,t) = \frac{\partial V}{\partial s} \left( \sum_{i=1}^6 a_i f_i(x,t) + g(x,t)u(t) - \ddot{y}_{des} + \lambda_1 \dot{e}(t) + \lambda_0 e(t) \right) \quad (9)$$

It is noted that this clf is smooth for  $\forall s(x,t) \neq 0$  instead of  $x(t)$ . Moreover, in contrast with the original Sontag control Lyapunov function, our clf has the tracking error dynamics as the control goal is to track the desired trajectory of the reference model. Therefore, regulation ( $\dot{e}(t) = \dot{y}(t)$ ) and tracking problems are considered with the proposed design [58].

### B. The Proposed Nonlinear Controller

Next, we extend the Sontag's formula (6) with the reference model and the combined error. Considering  $\frac{\partial V}{\partial s} g \neq 0 \rightarrow s(x,t) \neq 0$ , We obtain the control law (10).

infinity, which implies that the tracking error and its time derivatives up to order 2 go to zero as time goes to infinity. Moreover, because the function  $g(x,t)$  is always positive, a further writing of the control law gives (13). Where in equation (13),  $F(x,t) = \sum_{i=1}^6 a_i f_i(x,t) - \ddot{y}_{des} + \lambda_1 \dot{e}(t) + \lambda_0 e(t)$ . One can see that this control law is a sliding mode controller with an equivalent control coming from the inverse dynamics [59]. We replaced the sign function by the sigmoid function in (13) in order to avoid discontinuous signal and chattering phenomenon [60], [50].

$$a_{y0} = \frac{4\beta B c_{sm} J + 4\beta d_m^2 J}{\tau J^2 V_m}$$

$$a_{y1} = \frac{4\beta d_m^2 J + 4\beta c_{sm} J B}{J^2 V_m} + \frac{B V_m + 4\beta c_{sm} J}{\tau J V_m}$$

$$a_{y2} = \frac{4\beta c_{sm} J + B V_m}{J V_m} + \frac{1}{\tau}$$

The new state variables are chosen as  $x_{o1}(t) = y(t)$ ,  $x_{o2}(t) = \dot{y}(t)$  and  $x_{o3}(t) = \ddot{y}(t)$ . An exponential observer for (14)-(15), where  $\hat{x}_{oi}(t)$  and  $\hat{y}(t)$  are the estimated of  $x_{oi}(t)$  and  $y(t)$  respectively, is

$$\dot{\hat{x}}_o(t) = A_o \hat{x}_o(t) + K_{po}(y(t) - \hat{y}(t)) + \begin{bmatrix} 0 \\ 0 \\ g(x, t) \end{bmatrix} u(t) + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} f_o(x, t)$$

$$\hat{y}(t) = C_o^T \begin{bmatrix} \hat{x}_{1o}(t) \\ \hat{x}_{2o}(t) \\ \hat{x}_{3o}(t) \end{bmatrix} \quad (16)$$

where the vector  $K_{po}$  is chosen so that the total matrix  $A_{oy} = A_o - K_{po} C_o^T$  is Hurwitz which yields to the exponentially decay of the observation error  $e_{obs}(t) = x_{o1}(t) - \hat{x}_{o1}(t)$  as shown in (17).

$$e_{obs}(t) = A_{oy} e_{obs}(t) \quad (17)$$

As the convergence to zero is exponential, we neglect the effect of the observation error as is often done in linear systems [63] and some nonlinear systems [64], [65]. Fig. 3 shows the block diagram of the EHSS closed loop system where the two steps of the proposed controller and the nonlinear observer are visible.

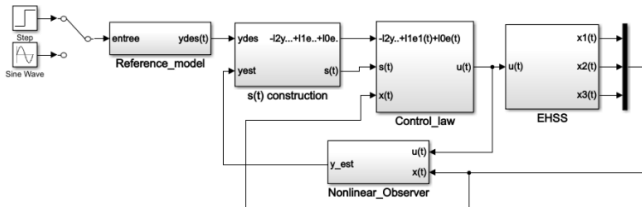


Fig. 3. EHSS closed loop block diagram with the proposed controller

#### IV. THE BACKSTEPPING CONTROLLER

In this section, the backstepping redesign Lyapunov is synthesized in order to compare its design, its implementation and its performance results with the proposed law. The present design follows the classical backstepping control steps [66], [67], [68]. Since the EHSS state space model has three state variables, the design is articulated around three steps corresponding to the three subsystems. We introduce the tracking error  $e_i(t) = x_i(t) - x_{id}(t)$  where  $x_{id}(t)$  is the desired state.

##### A. Step 1: The First Virtual Control for the First Subsystem

Consider the first subsystem  $\dot{x}_1(t) = \frac{d_m}{J} x_2(t) - \frac{B m}{J} x_1(t)$ . Choose the first candidate Lyapunov function for this subsystem as (18).

$$V_1(t) = \frac{1}{2} e_1^2(t) \quad (18)$$

The time derivative of this Lyapunov function gives as (19).

$$\dot{V}_1(t) = e_1(t) \left( \frac{d_m}{J} e_2(t) + \frac{d_m}{J} x_{2d}(t) - \frac{b_m}{J} e_1(t) - \frac{b_m}{J} x_{1d}(t) - \dot{x}_{1d} \right) \quad (19)$$

If we choose  $x_{2d}(t)$  as the first virtual control such that (20).

$$x_{2d}(t) = \frac{J}{d_m} \left( \frac{b_m}{J} x_{1d}(t) + \dot{x}_{1d} - k_1 e_1(t) \right) \quad (20)$$

where  $k_1 > 0$ , we obtain as (21).

$$\dot{V}_1(t) = - \left( \frac{b_m}{J} + k_1 \right) e_1^2(t) + \frac{d_m}{J} e_2(t) e_1(t) \quad (21)$$

##### B. Step 2: The Second Virtual Control

Now, consider the second subsystem  $\dot{x}_2(t) = \frac{4\beta c_d}{v_m} \left( x_3(t) \frac{c_d}{\sqrt{\rho}} \sqrt{P_s - \text{sign}(x_3(t)) x_2(t)} - d_m x_1(t) - c_{sm} x_2(t) \right)$ .

Choose the second candidate Lyapunov function for this subsystem as (22).

$$V_2(t) = \frac{1}{2} e_1^2(t) + \frac{1}{2} e_2^2(t) \quad (22)$$

The time derivative of this Lyapunov function gives in (23).

$$\dot{V}_2(t) = - \left( \frac{b_m}{J} + k_1 \right) e_1^2(t) + e_2(t) \left( \frac{d_m}{J} e_1(t) + \frac{4\beta c_d}{v_m \sqrt{\rho}} e_3(t) \sqrt{P_s - \text{sign}(x_3(t)) x_2(t)} - \frac{4\beta c_{sm}}{v_m} x_1(t) - \frac{4\beta c_{sm}}{v_m} x_2(t) - \dot{x}_{2d}(t) \right) \quad (23)$$

If we choose  $x_{3d}(t)$  as the second virtual control such that (24).

$$x_{3d}(t) = \frac{v_m \sqrt{\rho}}{4\beta c_d \sqrt{P_s - \text{sign}(x_3(t)) x_2(t)}} \left( - \frac{d_m}{J} e_1(t) + \frac{4\beta c_{sm}}{v_m} x_1(t) + \frac{4\beta c_{sm}}{v_m} x_2(t) + \dot{x}_{2d}(t) - k_2 e_2(t) \right) \quad (24)$$

where  $k_2 > 0$ , we obtain as (25).

$$\dot{V}_2(t) = - \left( \frac{b_m}{J} + k_1 \right) e_1^2(t) - \left( \frac{4\beta c_{sm}}{v_m} + k_2 \right) e_2^2(t) + \frac{4\beta c_d}{v_m \sqrt{\rho}} e_2(t) e_3(t) \sqrt{P_s - \text{sign}(x_3(t)) x_2(t)} \quad (25)$$

##### C. Step 3: The Deducing Nonlinear Backstepping Controller

Finally, consider the third subsystem  $\dot{x}_3(t) = \frac{K}{\tau} u(t) - \frac{1}{\tau} x_3(t)$ . Choose the final candidate Lyapunov function for this subsystem as (26).

$$V_3(t) = \frac{1}{2} e_1^2(t) + \frac{1}{2} e_2^2(t) + \frac{1}{2} e_3^2(t) \quad (26)$$

The time derivative of this Lyapunov function gives as (27).



$$\begin{aligned} \dot{V}_3(t) = & -\left(\frac{b_m}{J} + k_1\right)e_1^2(t) - \left(\frac{4\beta c_{sm}}{v_m} + k_2\right)e_2^2(t) \\ & + e_3(t) \left( \frac{4\beta c_d}{v_m \sqrt{\rho}} e_2(t) \sqrt{P_s - \text{sign}(x_3(t))x_2(t)} + \frac{K}{\tau} u(t) \right. \\ & \left. - \frac{1}{\tau} e_3(t) + \frac{1}{\tau} x_{3d}(t) - \dot{x}_{3d}(t) \right) \end{aligned} \quad (27)$$

If we choose the control signal  $u(t)$  such that (28).

$$\begin{aligned} u(t) = & \frac{\tau}{K} \left( \frac{1}{\tau} x_{3d}(t) + \dot{x}_{3d}(t) - \frac{4\beta c_d}{v_m \sqrt{\rho}} e_2(t) \sqrt{P_s - \text{sign}(x_3(t))x_2(t)} \right. \\ & \left. - k_3 e_3(t) \right) \end{aligned} \quad (28)$$

where  $k_3 > 0$ , we obtain (29).

$$\dot{V}_3(t) = -\left(\frac{b_m}{J} + k_1\right)e_1^2(t) - \left(\frac{4\beta c_{sm}}{v_m} + k_2\right)e_2^2(t) - \left(\frac{1}{\tau} + k_3\right)e_3^2(t) \quad (29)$$

Thus, the deducing Lyapunov function is negative define ensuring the asymptotic stability. Fig. 4 shows the implementation of the backstepping controller in matlab/Simulink where the three steps are highlighted.

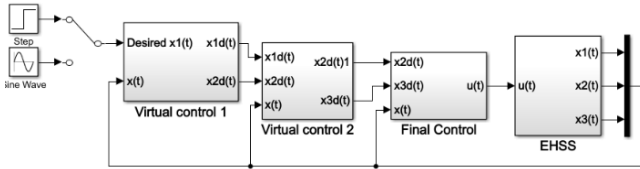


Fig. 4. EHSS closed loop block diagram with the backstepping controller

## V. DESIGN AND TUNING DISCUSSION

In this section, the design, the implementation and the performances of both controllers are analysed and compared. One can note that the design controller based on Sontag formula has an observer and a reference model while the backstepping controller requires only a reference signal. The implementation of an observer in a control system adds complexity but offers advantages in robustness and chattering reduction [69], [70]. Moreover, the backstepping controllers are often combine with an observer [71]. Focusing on the design way and the gain parameters tuning, we note that the proposed controller based on Sontag formula is easier to implement. A part from the three laborious recursive steps that lead to the final backstepping controller, three independent tuning gains ( $k_1$ ,  $k_2$  and  $k_3$ ) are generated.

Literature reports some difficulties to tune the backstepping controller gains and some complex solutions may be used to find the best tuning [72], [73], [74], [75], [76]. In addition to the one simple step leading to the construction of the proposed controller based on Sontag formula, there are two gains to tune ( $\lambda_0$  and  $\lambda_1$ ). Unlike the independent gains of the backstepping controller, the gains of the proposed controller depend on each other via the Hurwitz pattern [77].

## VI. SIMULATION RESULTS

In this section, we illustrate the performances of the two controllers obtained while implementing the closed loop

control system in Matlab/Simulink environment as it is seen in Fig. 3 and Fig. 4. The simulation lasts 10 seconds and the sampling time is 10 ms. Both constant and sinusoidal reference signals are used. The amplitude of the reference signal is 1 rad/s and the frequency is 2 hz. We choose to vary the viscous damping coefficient of the hydraulic motor as it is often happened in realistic context [78], [79]. Then, the proposed control law based on Sontag formula is compared to the currently used backstepping controller under the hydraulic motor damping disturbances. All the numerical value used for the simulation are listed in Table I.

In order to demonstrate the robustness of the proposed controller, we choose to vary the viscous damping coefficient of the hydraulic motor. Thus, as is shown in the Fig. 5, the initial value of the damping coefficient of the hydraulic motor is 0.2 N.m.s/rad. Between 4s and 6s, the parameter is reduced of 50% of its initial value before returning to 0.2 N.m.s/rad at the end of the simulation.

TABLE I. NUMERICAL VALUES USED FOR THE SIMULATION

Symbol	Description	Value and units
<b>EHSS</b>		
$\delta_v$	Sigmoid function constant $x_2(t)$	$10^5$
$\tau$	Servovlve time constant	0.01 s
$K$	Servovalve amplifier gain	$8 \cdot 10^{-7} \text{ m}^2/\text{mA}$
$V_m$	Total oil volume of the hydraulic motor	$3 \cdot 10^{-4} \text{ m}^3$
$\beta$	Fluid bulk modulus	$8 \cdot 10^8 \text{ Pa}$
$cd$	Flow discharge coefficient	0.61
$P_s$	Supply pressure	$9 \cdot 10^6$
$c_{sm}$	Leakage coefficient	$9 \cdot 10^{-13} \text{ m}^5/(\text{N.s})$
$d_m$	Volumetric displacement of the motor	$3 \cdot 10^{-6} \text{ m}^3/\text{rad}$
$\rho$	Fluid mass density	$900 \text{ Kg/m}^3$
$J$	Total inertia of the motor and the load	$0.05 \text{ N.m.s}^2$
$B$	Viscous damping coefficient	0.2 N.m.s
<b>Proposed controller based on Sontag formula</b>		
$\alpha_2$	Coefficient for the reference model	$2(2\pi \times 20)$
$\alpha_1$	Coefficient of the reference model	$2(2\pi \times 20)^2$
$\alpha_0$	Coefficient of the reference model	$(2\pi \times 20)^3$
$\lambda_1$	Coefficient for the combined error	$\sqrt{2}(2\pi \times 100)$
$\lambda_0$	Coefficient for the combined error	$(2\pi \times 100)^2$
$\delta_c$	Sigmoid function constant for the controller	$10^{-6}$
<b>Nonlinear observer</b>		
$K_{po}$	First column of the gain vector	1143
<b>backstepping controller</b>		
$k_1$	Constant of the virtual control 1	106.81
$k_2$	Constant of the virtual control 2	0.0031
$k_3$	Constant of the virtual control 3	5 027 000

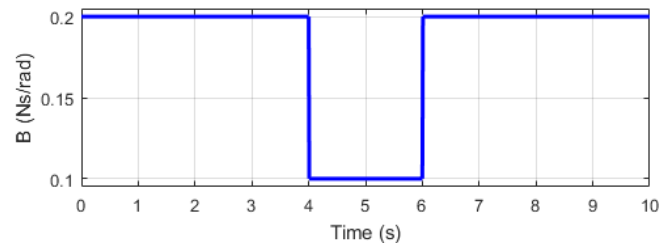


Fig. 5. Simulation of the uncertainty in the viscous damping coefficient

The Fig. 6 and Fig. 7 compare the tracking performances of the proposed controller and the backstepping controller when using a step reference signal with a constant amplitude of 1 rad/s. The backstepping controller shows overshoots reaching 40% and damping oscillations in the starting

transient state while the proposed controller shows light overshoot and no visible oscillations. Between 4s and 6s, when the damping torque of the hydraulic motor is reduced, the tracking performance of the backstepping controller slightly deteriorates while the proposed controller maintains zero overshoot.

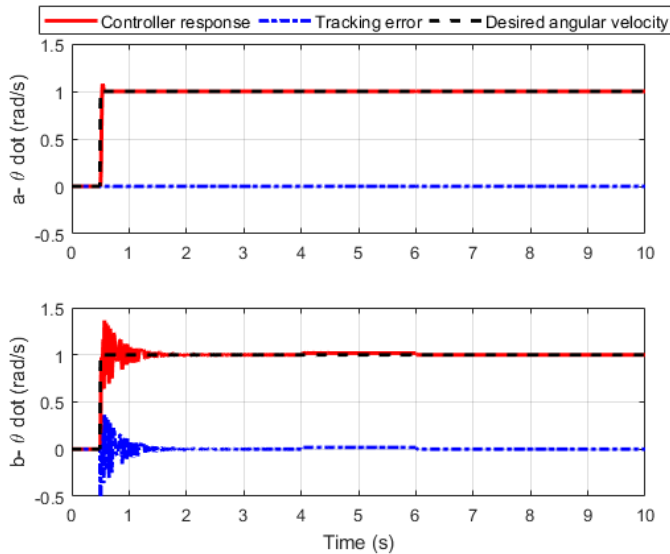


Fig. 6. System response when using (a) the proposed controller (b) the backstepping controller with a step signal reference of 1 rad/s

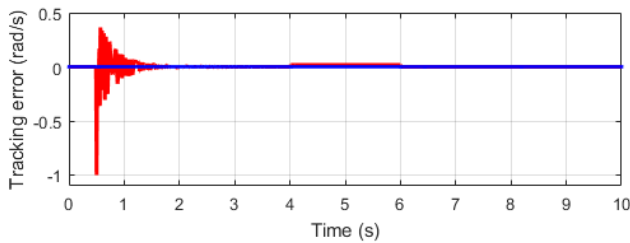


Fig. 7. a- Tracking error of both controllers with a step signal reference of 1 rad/s

In Fig. 8 (b), the control signal of both controllers are compared under the step signal reference of 1 rad/s. The backstepping controller displays large control effort while the proposed controller has small peaks. Moreover, it is shown that the both controllers generate chattering in the control signal. Chattering is due to discontinuities in the controlled systems and fast unmodeled dynamics [80]. In this paper, the sign function is replaced by the continuous sigmoid function to reduce chattering [81]. However, we notice that chattering effects are significant in the backstepping controller while the controller based on Sontag formula presents attenuated chattering effects. The presence of the nonlinear observer in the proposed closed loop controlled system may be the reason of this difference [36]. Other authors indicate that chattering may be caused when the controller gains tuning are not properly done [82]. Our future works will investigate other possibilities to avoid chattering by extending the proposed controller to an adaptive version [83].

The next set of simulation results are obtained when the reference signal is a sinusoidal wave of amplitude 1 rad/s and a frequency of 2Hz. We recall that we extend the design of the controller based on Sontag formula to achieve tracking

objectives. Fig. 9 and Fig. 10 show that the proposed controller can track a sine wave very well with a tracking error less than 1%. Between 4s and 6s, when the damping torque is reduced, the proposed controller maintains slight error while the backstepping controller shows a visible tracking error.

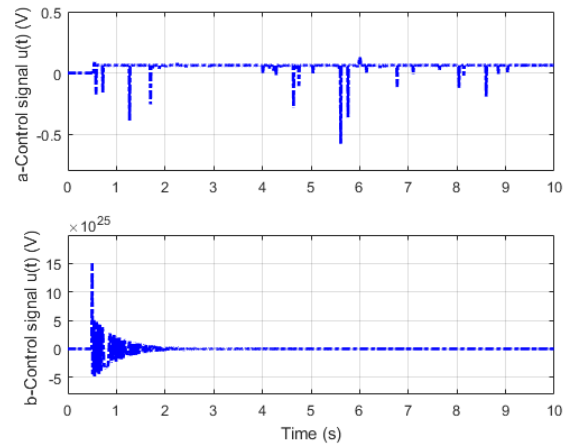


Fig. 8. Control signal of (a) the proposed controller (b) backstepping controller with a zoomed view when using the step reference of amplitude 1 rad/s

Fig. 11 depicts the control signals of both controllers and we see again that chattering is present. We specify that the chattering is no present in the responses but only in the control signals as is also shown in [84]. Chattering is again reduced in the control signal of the proposed control law. Compared to the regulating response, we notice that the variable tracking trajectory clearly reduces the chattering effects. In addition to the chattering effects reduction, the proposed controller shows a smooth control signal while the backstepping control signal generates a strong effort. Moreover, we see in Fig. 11 (b) the presence of a large overshoot at 3.5s while the damping disturbance occurs at 4s. After some observations, we notice that large oscillations are generated when the reference signal sign changes.

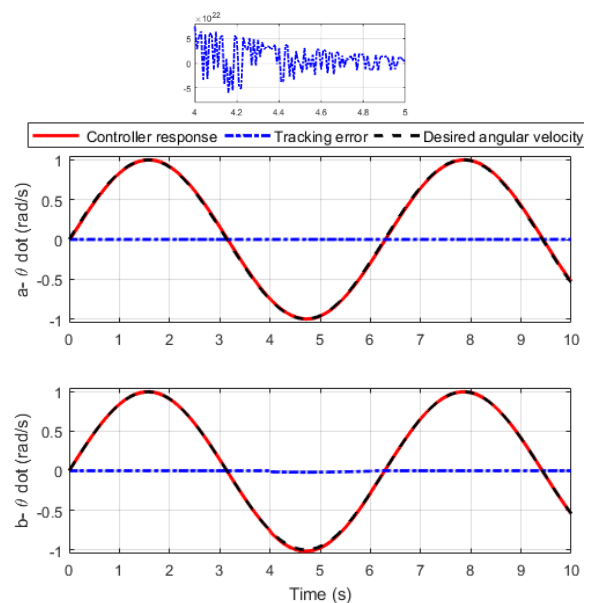


Fig. 9. System response when using (a) the proposed controller (b) the backstepping controller with a sinusoidal signal reference of amplitude 1rad/s and frequency 2hz

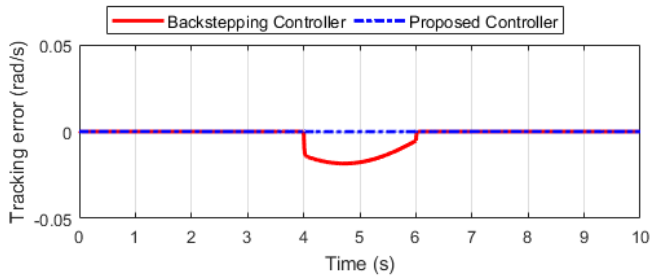


Fig. 10. Tracking error of both controllers with a sinusoidal signal reference of 1rad/s and 2hz

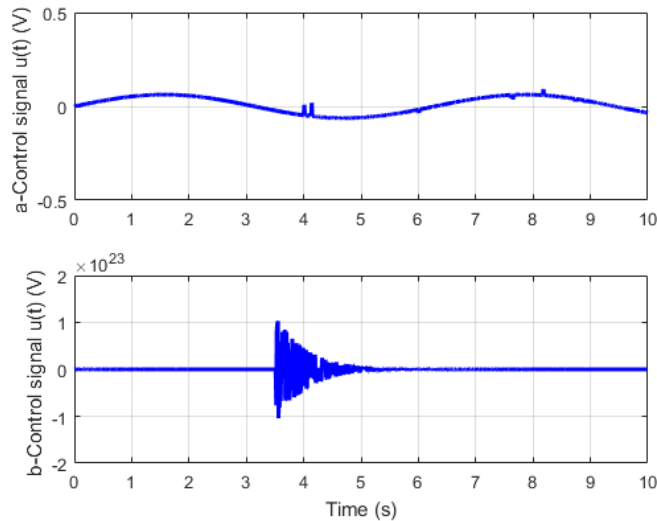


Fig. 11. Control signal of (a) the proposed controller (b) backstepping controller when using a sinusoidal signal reference of 1rad/s amplitude and frequency of 2hz

Finally, Fig.12 shows the behavior of the observation error. We see that the proposed observer track the real output with an observation error smaller than 0.01% for both reference signals. However, when the disturbance occurs, the observation error slightly increases.

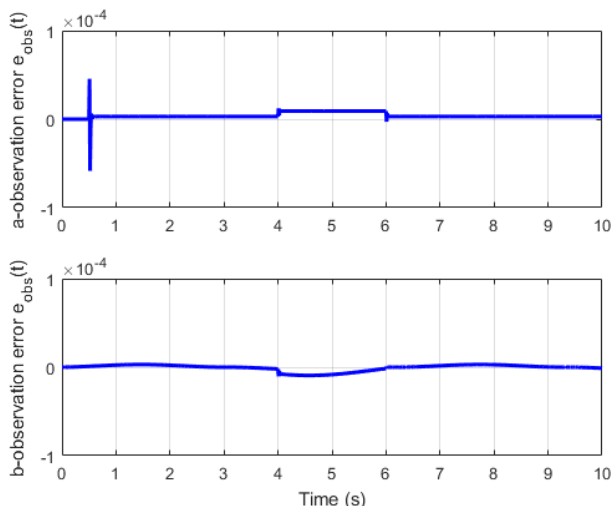


Fig. 12. Observation error when using (a) the constant signal reference and (b) the sinusoidal signal reference

## VII. CONCLUSION

In this paper, we propose an alternative Lyapunov redesign controller to the backstepping one to solve the

regulating and the tracking problems of an electrohydraulic velocity drive. The proposed controller is derived from the Sontag formula that we extend to the tracking version and a nonlinear observer to estimate the derivatives of the output. We found that the proposed control law looks like a sliding mode controller with an equivalent control based on the inverse dynamics. Moreover, the proposed controller generates less design effort and less implementation gain tuning effort than its homologue backstepping. Simulation results show that the proposed control gives better tracking error than the classical backstepping controller for a constant and sinusoidal reference input signal. In addition, under the hydraulic motor damping torque variations, the proposed controller shows the best robust performances.

Future works will involve adaptive or/ and neural version of the proposed controller and an integral action in the nonlinear observer in order to enhance the performance of the controller based on Sontag formula.

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